

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

----- X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket No. 52481  
----- X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On April 26, 2016, I caused to be served the "Notice of Defective Transfer," dated April 26, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in a postage pre-paid envelope and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Konstantina Haidopoulos  
Konstantina Haidopoulos

Sworn to before me this  
26<sup>th</sup> day of April, 2016

/s/ Casandra Murry

Notary Public, State of New York  
No. 01MU6220179

Qualified in the County of Queens  
Commission Expires April 12, 2018

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re:

Chapter 11

LEHMAN BROTHERS HOLDINGS, INC., et al.

Case No. 08-13555 (SCC)  
Jointly Administered

Debtors.

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**NOTICE OF DEFECTIVE TRANSFER**

Transferor: CREDIT SUISSE AG, SINGAPORE BRANCH  
C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP  
ATTN: STEPHANIE SWEENEY, ESQ  
570 SEVENTH AVENUE, 17<sup>TH</sup> FLOOR  
NEW YORK, NY 10018

Transferee: HSBC PRIVATE BANK (UK) LTD  
78 ST JAMES STREET  
LONDON, SW1A 1JB  
UNITED KINGDOM

**Your transfer as presented is defective for the reason(s) checked below:**

- ☐ case closed on:
- ☐ address missing: (transferor) (transferee)
- ☐ signature of transferor missing
- ☐ claim number in error
- ☐ claim number not stated
- ☐ amount of transfer missing in Transfer Agreement
- ☐ not FRBP 3001(e)(2) OR (4); claim **NOT** on file
- ☐ not FRBP 3001(e)(1) OR (3); claim **ON** file
- ☐ notice amount does not match transfer agreement
- ☐ cannot docket transfer-claim #
  - ☐ expunged by court order
  - ☐ previously transferred
- ☐ By document #
- ☒ other (specify): Transferor does not own positions.

NOTE:

**Docket Number: 52481**

/s/ Joseph Arena  
Epiq Bankruptcy Services LLC as claims  
agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on April 26, 2016.

## **EXHIBIT B**

***LBH\_DEFECTIVE TRANSFER\_4-26-16***

***LBH\_DEFECTIVE TRANSFER\_4-26-16***

CREDIT SUISSE AG, SINGAPORE BRANCH  
C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP  
ATTN: STEPHANIE SWEENEY, ESQ  
570 SEVENTH AVENUE, 17TH FLOOR  
NEW YORK, NY 10018

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